



Please type a plus sign (+) inside this box → ☒

PTO/SB/21(08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

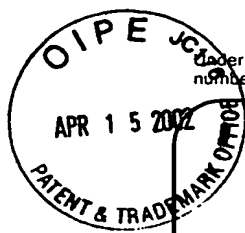
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/025,838	
	<b>Filing Date</b>	December 18, 2001	
	<b>First Named Inventor</b>	Kehlstadt, Florian Max	
	<b>Group Art Unit</b>	2673	
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	16	<b>Attorney Docket Number</b>	09623C-031610US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> <b>Fee Transmittal Form (2)</b>  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input checked="" type="checkbox"/> <b>Response to Missing Parts under 37 CFR 1.52 or 1.53</b>	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> <b>Other Enclosure(s)</b> <i>(please identify below):</i>  <b>Return Postcard</b> <b>Supplemental ADS</b> <b>Executed Declaration Using ADS</b> <b>Power of Attorney w/ Stmt under 37 CFR 3.73(b) &amp; copy of Assignment</b> <b>Copy of Notice of Missing Parts</b>
<b>Remarks</b>		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
Pursuant to the Notice to File Missing Parts of Nonprovisional Application dated February 13, 2002, the enclosures listed on this sheet are to be made of record in the above-identified case.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm and Individual name</b>	Townsend and Townsend and Crew LLP Paul C. Haughey Reg. No. 31,836
<b>Signature</b>	
<b>Date</b>	April 3, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 3, 2002	
<b>Typed or printed name</b>	Gloria Sikora
<b>Signature</b>	
<b>Date</b>	April 3, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
PA 3212432 v1



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1536

## Complete if Known

Application Number	10/025,838
Filing Date	December 18, 2001
First Named Inventor	Kehlstadt, Florian Max
Examiner Name	
Group Art Unit	2673
Attorney Docket No.	09623C-031610US

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$740)

### 2. EXTRA CLAIM FEES

Total Claims	29	-20**	=	9	Extra Claims	X	Fee from below	=	Fee Paid
Independent Claims	9	-3**	=	6		X	\$84	=	\$504
Multiple Dependent						X		=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$666)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
05	130	205	65	Surcharge - late filing fee or oath	130
27	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
39	130	139	130	Non-English specification	
47	2,520	147	2,520	For filing a request for reexamination	
12	920*	112	920*	Requesting publication of SIR prior to Examiner action	
13	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
15	110	215	55	Extension for reply within first month	
16	400	216	200	Extension for reply within second month	
17	920	217	460	Extension for reply within third month	
18	1,440	218	720	Extension for reply within fourth month	
28	1,960	228	980	Extension for reply within fifth month	
19	320	219	160	Notice of Appeal	
20	320	220	160	Filing a brief in support of an appeal	
21	280	221	140	Request for oral hearing	
38	1,510	138	1,510	Petition to institute a public use proceeding	
40	110	240	55	Petition to revive - unavoidable	
41	1,280	241	640	Petition to revive - unintentional	
42	1,280	242	640	Utility issue fee (or reissue)	
43	460	243	230	Design issue fee	
44	620	244	310	Plant issue fee	
22	130	122	130	Petitions to the Commissioner	
23	50	123	50	Petitions related to provisional applications	
26	180	126	180	Submission of Information Disclosure Stmt	
81	40	581	40	Recording each patent assignment per property (times number of properties)	
46	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
49	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
79 (RCE)	740	279	370	Request for Continued Examination	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$130)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Paul C. Hanney	Registration No. (Attorney/Agent)	31,836	Telephone	650-326-2400
Signature				Date	April 3, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3212424 v1



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/025,838	12/18/2001	Florian Max Kehlstadt	09623C-031610US

**CONFIRMATION NO. 4261**

20350  
TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

## FORMALITIES LETTER



\*OC000000007471762\*

Date Mailed: 02/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$666.
  - \$162 for 9 total claims over 20.
  - \$504 for 6 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1536.

04/17/2002 SDENB01 00000032 201430 10025838

01 FC:101	740.00 CH
02 FC:103	162.00 CH
03 FC:105	130.00 CH
04 FC:102	504.00 CH

*A copy of this notice **MUST** be returned with the reply.*

*Lin C*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE